DECLARATION FROM NRI / PIOs BASED IN UNITED STATES OF AMERICA (USA) AND CANADA

NJ MUTUAL FUND
BUILT ON RULES

1. APPLICANT'S DETAILS (AS PER FOLIO) Folio No.

Name of Sole / First Applicant (As per PAN)

Name of Guardian (if minor) / POA / Contact Person (As per PAN)

PAN (1st Applicant/Guardian) PAN (only of minor)

Transaction Date : D D M M Y Y Y Y

Scheme Name: **Plan:** ☐ Regular ☐ Direct | **Option:** ☐ Growth ☐ IDCW Payout

2. DECLARATION & SIGNATURES (APPLICANTS MUST SIGN AS PER MODE OF HOLDING)

1. I/We am/are Non Resident Indian(s) (NRI) / Person(s) of Indian Origin (PIO) as per the extant laws of the Republic of India and are currently residing in the United States of America and Canada.
2. I/We confirm that the transaction(s) in the schemes of the NJ Mutual Fund ('Fund') was submitted by me/us, when I/we were physically present in India.
3. I/We hereby declare that neither NJ Asset Management Private Limited ('AMC') nor the Fund have communicated/solicited/marketed/advertised about making any investment(s) in the scheme(s) of the Fund either by way of (a) any physical means (including but not limited to any advertisement, article, notice or other communication published in any newspaper, magazine or similar media, seminar, meeting, press conference, mailings, or billboards); or (b) any electronic means (including but not limited to a television/radio broadcast, internet, social media, or electronic mails) in the jurisdiction of which I am a resident by any person(s) including NJ Mutual Fund, NJ Asset Management Private Limited or their respective directors, trustees, employees, agents & assigns.
4. I/We understand that neither the AMC nor NJ Trustee Private Limited ('Trustee Company') have registered the schemes of the Fund in the jurisdiction in which I/We reside.
5. I/We confirm that the transaction(s) in the schemes of the Fund is based on my/our own decision and neither the AMC nor the Trust Company nor its employees/distributors have influenced (actively/passively) my/our decision for transaction(s) in the schemes.
6. I/We further confirm that such investment complies with the applicable laws of the country of my/our residence.
7. I/We agree and undertake to execute any documents and to provide further information/documents to the AMC, Trust or the Registrar and Transfer Agent (the Registrar), as may be required with respect to my/our investment(s) in the schemes of the Fund.
8. I/We authorize the AMC, the Trustee Company, and its service providers to disclose/report details of my/our investments as may be required "by legal, compliance, regulatory and tax authorities of India and the jurisdiction of which I am resident."
9. I/We understand and acknowledge that AMC reserves the right to reject my/our transaction(s)/reverse units allotted in the schemes of the Fund at its sole discretion. Further, subject to non-receipt of required documentation/information or changes in applicable legal provisions or such other circumstances as determined by the AMC, the AMC reserves the right to redeem my/our investment and refund the proceeds to me/us. I/We agree that such a decision of the AMC shall be final and binding on me/us.
10. I/We agree that the AMC, the Trust and/or their directors, officers and employees shall not be liable for any direct, indirect, special, incidental or consequential cost, loss, expenses, damages and claims arising out of, including but not limited to my/our investments or on account of any untrue/misleading/incomplete statement/information provided by me or rejection of my/our transactions/reversal of units allotted, in the scheme.
11. I/We confirm that by applying for subscription to the schemes of the Fund, I/We are not in any non-compliance, violation or in irregularity with any/all extant laws (of any jurisdiction) applicable to me/us and that I/We have not been barred/prohibited from accessing the capital markets under any order/ruling/judgment/decree etc. in any jurisdiction.
12. I/We hereby declare that I/we are fully aware of the implications (legal, compliance, regulatory and tax related) of making such investment and I/we am/are taking this investment decision based on the advice of my/our tax consultant(s) and legal counsel.
13. In case of any inconsistency being found between the information being provided by me/us in the application form and the information contained herein, the contents of this declaration shall prevail.
14. To process New purchase/ Additional/ SIP request, it is mandatory to enclose a self-certified copy of your passport with immigration pages along with the NRI declaration form.

X First / Sole Applicant / Guardian / Authorised Signatory	X 2nd Applicant's Signature	X 3rd Applicant's Signature

Date D D M M Y Y Y Y Place