

# Annexure 4

## Declaration to be submitted by Transferee Distributor

Please complete the relevant sections legibly in black / dark blue ink and in BLOCK LETTERS

To,

NAME of the AMC \_\_\_\_\_

Date	D	D	M	M	Y	Y	Y	Y
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Sir/ Madam,

This is with reference to the Application form for Transfer of AUM of the unitholders in Regular Plan in all the Schemes in your fund house submitted by the Transferor distributor, namely, \_\_\_\_\_ (ARN \_\_\_\_\_ )  
(Name & ARN of transferor distributor)

to ARN \_\_\_\_\_ of \_\_\_\_\_,  
(Name & ARN of transferee distributor)

the undersigned transferee distributor.

In this regard I/we confirm/undertake as follows –

- 1) I/We am/are empaneled with your AMC as a distributor w.e.f. \_\_\_\_\_, and my/our ARN is valid as on date.
- 2) I/we am/are KYD-compliant and have furnished all annual Declaration of Self-Certification (DSC) due till date.
- 3) I/We further declare and certify that the proposed transfer of assets is not being done to circumvent any legal or regulatory obligation and / or AMFI guideline / requirements.
- 4) I/we hereby agree and declare that after the transfer of AUM, I/we shall operate as sub-distributor of the Transferee distributor and shall not do any business independently under my/our ARN. \*
- 5) I / we request the AMC to link / map the EUINs (as per list attached) currently mapped to the ARN of the Transferor distributor to my my/our ARN, and de-link /de-map the said EUINs from the ARN of the transferor MFD.\*
- 6) I/We understand that the AMCs / RTA will not be obliged to address any queries or complaints arising due to the ARN Code change request.
- 7) I/ we undertake to comply with all the applicable AMFI guidelines/ circulars w.r.t. Transfer of AuM, and the terms and conditions of empanelment of the AMC.

Thanking you,

Signature of Transferor Distributor
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*\*Delete if not applicable*